

Gain(Loss) By Security

Summary Sorted By Security Name

Name	Principal Gain(Loss)	Income	Total Gain(Loss)
ABIOMED INC (ABMD)	(903)	0	(903)
ACTIVISION BLIZZARD (ATVI)	(338)	9	(329)
ADOBE INC (ADBE)	589	0	589
AEROVIRONMENT INC (AVAV)	(113)	0	(113)
ALIGN TECHNOLOGY INC (ALGN)	(200)	0	(200)
ALPHABET INC CL A (GOOGL)	97	0	97
ALPHABET INC CLC (GOOG)	148	0	148
AMBARELLA INC (AMBA)	179	0	179
APPIAN CORP CL A (APPN)	621	0	621
APPLE INC (AAPL)	926	68	994
ARISTA NETWORKS INC (ANET)	(334)	0	(334)
ARK FINTECH INNOVATION ETF (ARKF)	(5,850)	80	(5,770)
ARK GENOMIC REVOLUTION ETF (ARKG)	(11,364)	312	(11,052)
ARK NEXT GENERATION INTERNET ETF (ARKW)	(5,852)	823	(5,029)
AXOS FINL INC / BOFI HOLDING INC (AX)	(716)	0	(716)
BARCLAYS PLC 0% 06/27/25 (06747PZA0)	21,172	0	21,172
BARCLAYS PLC 12% 04/18/22 (06747QMB0)	(252)	1,500	1,248
BARCLAYS PLC 20% 07/12/24 (06748W3S0)	(375)	1,067	691
BARCLAYS PLC 20.25% 08/29/24 (06748WF24)	(242)	608	365
BARCLAYS PLC 21% 08/29/24 (06748WEZ2)	(265)	630	365
BNP PARIBAS SA 0% 01/02/24 (05600FJY6)	12,597	0	12,597
BNP PARIBAS SA 0% 02/08/24 (05600MK78)	(14,624)	0	(14,624)
BNP PARIBAS SA 19.008% 10/26/23 (05600FD30)	84	808	891
BNP PARIBAS SA 24.6% 12/18/23 (05600MCV4)	(858)	2,091	1,233
BROADCOM INC (AVGO)	(40)	34	(6)
CHARLES SCHWAB US REIT ETF (SCHH)	(233)	140	(93)
CITIGROUP INC VAR 10/09/25 (17330RJJ5)	0	1,065	1,065
CLOUDERA INC (CLDR)	(813)	0	(813)

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COGNEX CORP (CGNX)	(147)	12	(135)
COHERENT INC (COHR)	(524)	0	(524)
CREDIT SUISSE GROUP 0% DUE 02/07/22 (22552WDG7)	0	2,334	2,334
CYPRESS SEMICONDUCTR (CY)	678	77	755
DIREXION DAILY FTSE CHNA BUL 3X ETF (YINN)	(140)	5	(135)
DIREXION DAILY S&P BIOTECH BULL 3X (LABU)	(99)	0	(99)
DIREXION DAILY SMALL CAP BULL 3X ETF (TNA)	(32)	3	(30)
ENERGY SELECT SECTOR SPDR ETF (XLE)	27	0	27
FORMULA FOLIOS HEDGED GROWTH ETF (FFHG)	658	36	694
FORMULA FOLIOS INCOME ETF (FFT)	(840)	645	(196)
FORMULAFOLIOS US EQTY INST (FFILX)	887	55	942
GLOBAL PAYMENTS INC (GPN)	401	3	404
GUGGENHEIM S&P 500 EQUAL WE TECH ETF (RYT)	172	5	178
GUGGENHEIM S&P 500 EQUAL WEI UTI ETF (RYU)	51	0	51
HSBC BANK USA NTNL 0% 09/02/25 (40438CRL7)	3,145	0	3,145
HUBSPOT INC (HUBS)	547	0	547
INTEL CORP (INTC)	171	63	234
INTUIT INC (INTU)	520	21	541
INVESCO SOLAR ETF (TAN)	(7,737)	36	(7,701)
INVESCO WILDERHILL CLEAN ENERGY ETF (PBW)	(12,338)	1,185	(11,152)
IPG PHOTONICS CORP (IPGP)	(510)	0	(510)
IRIDIUM COMMUNICATIONS INC (IRDM)	1,505	0	1,505
ISHARES 20 PLS YEAR TREASURY BND ETF (TLT)	171	11	182
ISHARES CORE HIGH DIVIDEND ETF (HDV)	(342)	62	(281)
ISHARES CORE S&P 500 ETF (IVV)	(58)	38	(20)
ISHARES CORE S&P MID CAP ETF (IJH)	(37)	34	(3)
ISHARES EDGE MSCI MIN VOL USA ETF (USMV)	(392)	39	(353)
ISHARES IBOXX HIGH YIELD BOND ETF (HYG)	(409)	163	(246)

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ISHARES MSCI EAFE ETF (EFA)	(142)	0	(142)
ISHARES MSCI EMERGING MARKETS ETF (EEM)	94	0	94
ISHARES RUSSELL 2000 ETF (IWM)	117	0	117
ISHARES SELECT DIVIDEND ETF (DVY)	(262)	60	(202)
ISHARES TIPS BOND ETF (TIP)	(5,662)	0	(5,662)
JUNIPER NETWORKS INC (JNPR)	(310)	75	(236)
KLA CORP (KLAC)	1,017	22	1,039
LUMINEX CORP DEL (LMNX)	(179)	29	(150)
MARVELL TECH GROUP (MRVL)	19	35	53
MAZOR ROBOTICS LTD SPONSORED ADR (MZOR)	236	0	236
MICRON TECHNOLOGY (MU)	(29)	0	(29)
MICROSOFT CORP (MSFT)	809	47	857
MONEY MARKET/CASH	0	338	338
MORGAN STANLEY FI VAR 01/17/23 (61771EA65)	(1,585)	645	(940)
MORGAN STANLEY FI VAR 02/14/24 (61771E5N4)	(227,219)	63,079	(164,140)
MORGAN STANLEY FI VAR 05/02/24 (61771VB90)	(13,340)	2,373	(10,967)
MORGAN STANLEY VAR 02/23/24 (61771VAB6)	(59,115)	18,958	(40,157)
MORGAN STANLEY VAR 03/29/21 (61771BNP5)	0	1,301	1,301
NEOPHOTONICS CORP (NPTN)	84	0	84
NOVA MEASURING INSTR (NVMI)	470	0	470
NVIDIA CORP (NVDA)	(65)	7	(58)
OPEN TEXT CORP (OTEX)	291	55	345
ORBOTECH LTD (ORBK)	(659)	0	(659)
POWER INTEGRATIONS (POWI)	432	31	463
POWERSHARES FUNDAME H YIELD CORP ETF (PHB)	(73)	307	235
POWERSHARES QQQ TRUST SRS 1 ETF (QQQ)	(35)	0	(35)
PROFUNDUS ULTRA BULL FUND INV CL (ULPIX)	270	0	270
PROFUNDUS ULTRA MID CAP FUND INV CL (UMPIX)	290	0	290

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PROFUNDUS ULTRA SMALL CAP INV CL (UAPIX)	311	0	311
PROSHARES SHORT S&P 500 ETF NEW (SH)	(97)	0	(97)
PROSHARES ULTRA QQQ ETF (QLD)	(63)	0	(63)
PROSHARES ULTRA REAL ESTATE ETF (URE)	77	7	84
PROSHARES ULTRA S&P 500 ETF (SSO)	34	0	34
PROSHARES ULTRAPRO QQQ ETF (TQQQ)	239	0	240
PROSHARES ULTRASHORT DOW30 ETF (DXD)	(201)	0	(201)
PROSHARES ULTRASHORT RUSSEL 2000 ETF (TWM)	(175)	0	(175)
PROSHARES ULTRASHORT S&P 500 ETF (SDS)	(195)	0	(195)
PROSHRES ULTRASHT 20 PLS YR TRSY ETF (TBT)	(250)	0	(250)
REDWOOD MGD VOLATILITY FD Y (RWDYX)	(672)	1,142	470
ROGERS CORP (ROG)	10	0	10
SALESFORCE COM (CRM)	387	0	387
SCHWAB FUNDAMENTAL US BROAD MKT ETF (FNDB)	56	7	63
SCHWAB INTERMEDIATE TERM US TRS ETF (SCHR)	(81)	5	(75)
SCHWAB INTERNATIONAL EQUITY ETF (SCHF)	829	111	939
SCHWAB SHORT TERM US TREASURY ETF (SCHO)	(4)	25	21
SCHWAB US AGGREGATE BOND ETF (SCHZ)	(111)	12	(99)
SCHWAB US BROAD MARKET ETF (SCHB)	1,114	133	1,247
SCHWAB US SMALL CAP ETF (SCHA)	59	6	65
SCHWAB VALUE ADVANTAGE MONEY INV (SWVXX)	0	511	511
SPDR BARCLAYS INTERNATIONAL ETF (BWX)	23	0	23
SPDR BARCLAYS ISSUER SCORED CORP ETF (CBND)	(90)	87	(4)
SPDR BARCLAYS LONG TERM TREASURY ETF (TLO)	(55)	1	(53)
SPDR BLMBRG BRCLY HGH YLD BND ETF (JNK)	(99)	255	156
SPDR DOW JONES INDUSTRIAL AVRG ETF (DIA)	11	0	11
SPDR GOLD SHARES ETF (GLD)	(186)	0	(186)
SPDR NUVEEN BARCLAYS SHORT TERM MUN BD ETF (SHM)	(14)	1	(13)

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SPDR S&P BIOTECH ETF IV (XBI)	(31)	0	(31)
SQUARE INC CL A (SQ)	561	0	561
STONERIDGE INC (SRI)	(395)	0	(395)
SYNCHRONOSS TECHS (SNCR)	(345)	0	(345)
TALEND S A SPONSORED ADR (TLND)	(685)	0	(685)
TE CONNECTIVITY LTD (TEL)	(162)	62	(100)
TERADYNE INC (TER)	921	30	951
TORONTO-DOMINI 0% 02/20/26 (89114YQQ7)	0	3,249	3,249
TOTAL SYS SVC (TSS)	640	13	653
TWITTER INC (TWTR)	(14)	0	(14)
UNIVERSAL DISPLAY CO (OLED)	548	6	554
VANGUARD DIVIDEND APPRECIATION ETF (VIG)	(210)	34	(176)
VANGUARD TOTAL STOCK MARKET ETF (VTI)	2,821	80	2,901
XTRACKERS USD HIGH YIELD COR BND ETF (HYLB)	(422)	278	(144)
YASKAWA ELECTRIC CO SPONSORED ADR (YASKY)	(263)	18	(244)
	(322,358)	107,495	(214,862)
	Account Fees & Loan Interest		(26,003)
		Total Gain/(Loss)	(240,865)